



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

November 18, 2004

WINSTON & STRAWN
PATENT DEPARTMENT
1400 L STREET, N.W.
WASHINGTON, DC 20005-3502
US

Dear Sir/Madam,

Your refund request for 10602745 in the amount of \$555.00 has been denied .

Small entity status fee not refundable. The time has expired for the refund of this fee. A refund based on establishment of small entity status may only be obtained if a verified statement under 37 CFR 1.27 and a request for the refund of excess amount are filed within three months of timely payment of the full fee (37 CFR 1.28).

Sincerely,

ELEANOR KURTZ
Technical Center Others
703 308-3642



10-01-04

DEPT Reg

Express Mail No. EV 346 811 825 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 OCT -6 PM 4:46

In re Application of: Aaron GARZON et al.

Application No.: 10/602,745

Filing Date: June 25, 2003

For: NOVEL NON-PSYCHOTROPIC
CANNABINOIDS

Confirmation No.: 9969

Group Art Unit: 1614

Examiner:

Atty. Docket No.: 87754-7499

REQUEST FOR REFUND

Assistant Commissioner for Patents
Office of Finance
Washington, D.C. 20231

Sir:

A refund of a portion of the filing fee is respectfully requested. When this application was filed on June 25, a large entity fee of \$1,110.00 was paid. As applicants qualify as a small entity (Verified Statement attached), a refund of \$555 is respectfully requested.

As a filing receipt was received on August 8, 2004, this request is timely made. Please CREDIT this refund to Winston & Strawn Deposit Account 50-1814.

Thank you for your prompt attention to this matter.

Respectfully submitted,

Allan A. Fanucci

Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN LLP
CUSTOMER NO. 28765
(212) 294-3311

Date:

9/30/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 OCT -6 PM 4: 46

In re application of: Aaron GARZON et al.

Application No.: 10/304,074

Filing Date: November 26, 2002

For: NOVEL NON-PSYCHOTROPIC
CANNABINOIDS

Confirmation No.: 6029

Group Art Unit: 1625

Examiner: Amelia A. Owens

Attorney Docket No.: 87754-7400

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR 1.27(a)(2) - Small Business Concern]

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act
in behalf of the concern identified below:

PHARMOS CORPORATION
99 Wood Avenue South
Iselin, NJ 08830

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(2), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled NOVEL NON-PSYCHOTROPIC CANNABINOIDS by Aaron GARZON and George FINK, described in Application No. 10/304,074 filed November 26, 2002.

BEST AVAILABLE COPY

APPLICATION NO. 10/304,074

DIVISION

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

OCT -6 PM 4:4

FULL NAME: _____

ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.27 (g)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:

WINSTON & STRAWN
Customer No. 28765

Direct Telephone calls to:
Allan A. Fanucci
212-294-3311

Name of Person Signing: Prof. Haim Aviv

Title other than Owner: C.E.O. and Chairman of the Board

Address of Signer: 99 Wood Avenue South, Suite #301

Iselin, NJ 08830

Signature: _____

Haim Aviv

Date: _____

July 8, 2003

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities.

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